

# The No-Core Gamow Shell Model: Including the Continuum into the NCSM

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# OUTLINE

I. Introduction: No Core Gamow Shell Model (NCGSM)

II. NCGSM Formalism

III. NCGSM: Applications to Light Nuclei

IV. Summary and Outlook

# I. Introduction: No Core Gamow Shell Model

# *No Core Shell Model*

“*Ab Initio*” approach to microscopic nuclear structure calculations, in which all A nucleons are treated as being active.

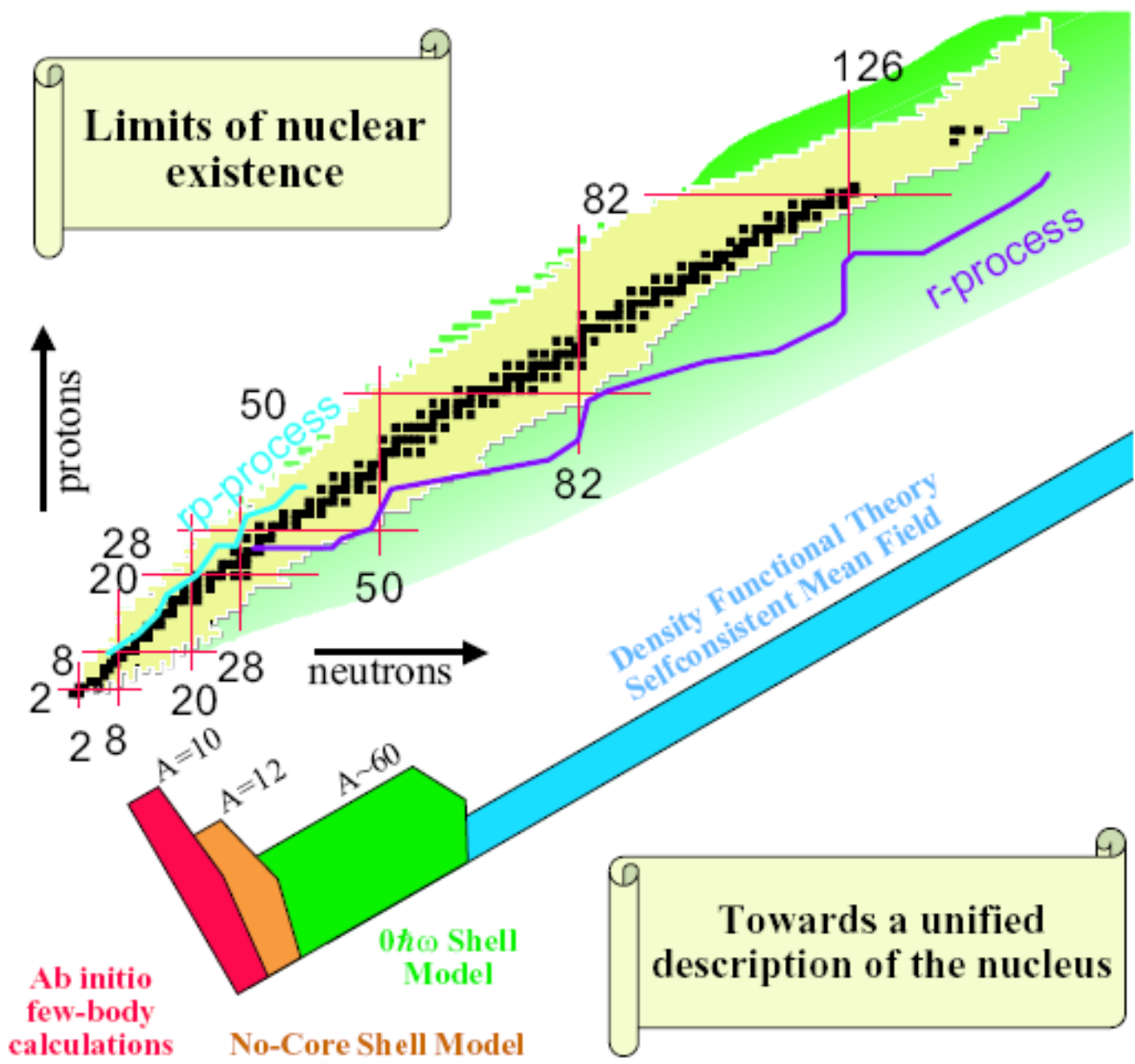
Want to solve the A-body Schrödinger equation

$$H_A \Psi^A = E_A \Psi^A$$

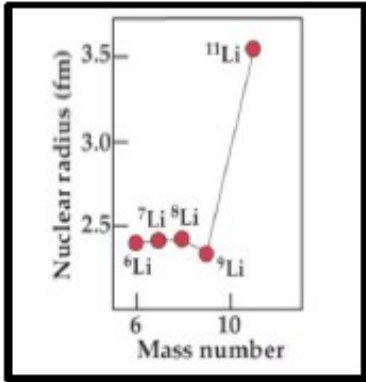
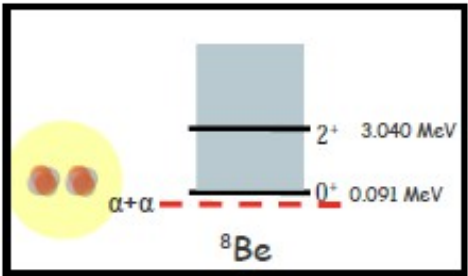
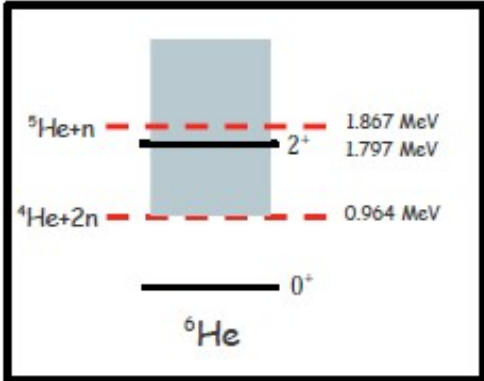
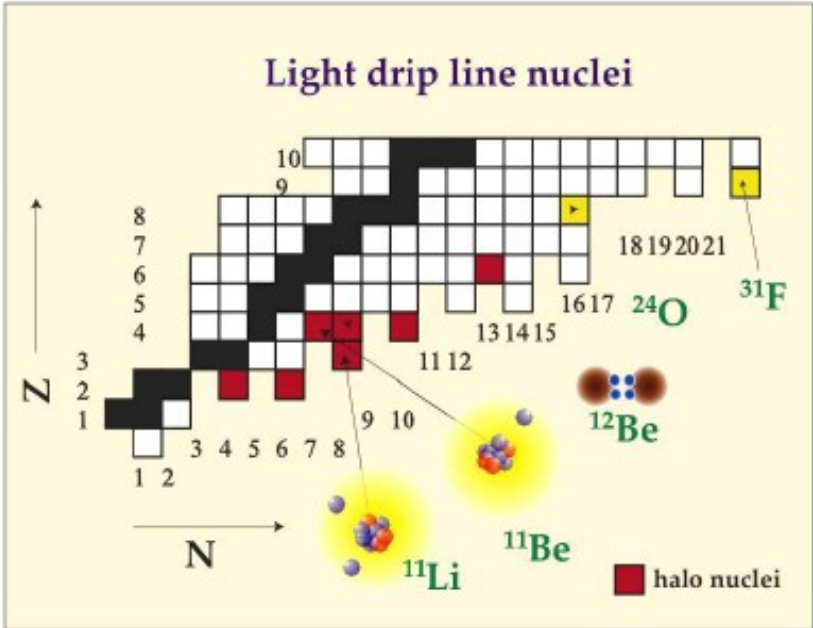
R. P. Navrátil, J.P. Vary, B.R.B., PRC 62, 054311 (2000)

P. Navratil, et al., J.Phys. G: Nucl. Part. Phys. 36, 083101 (2009)

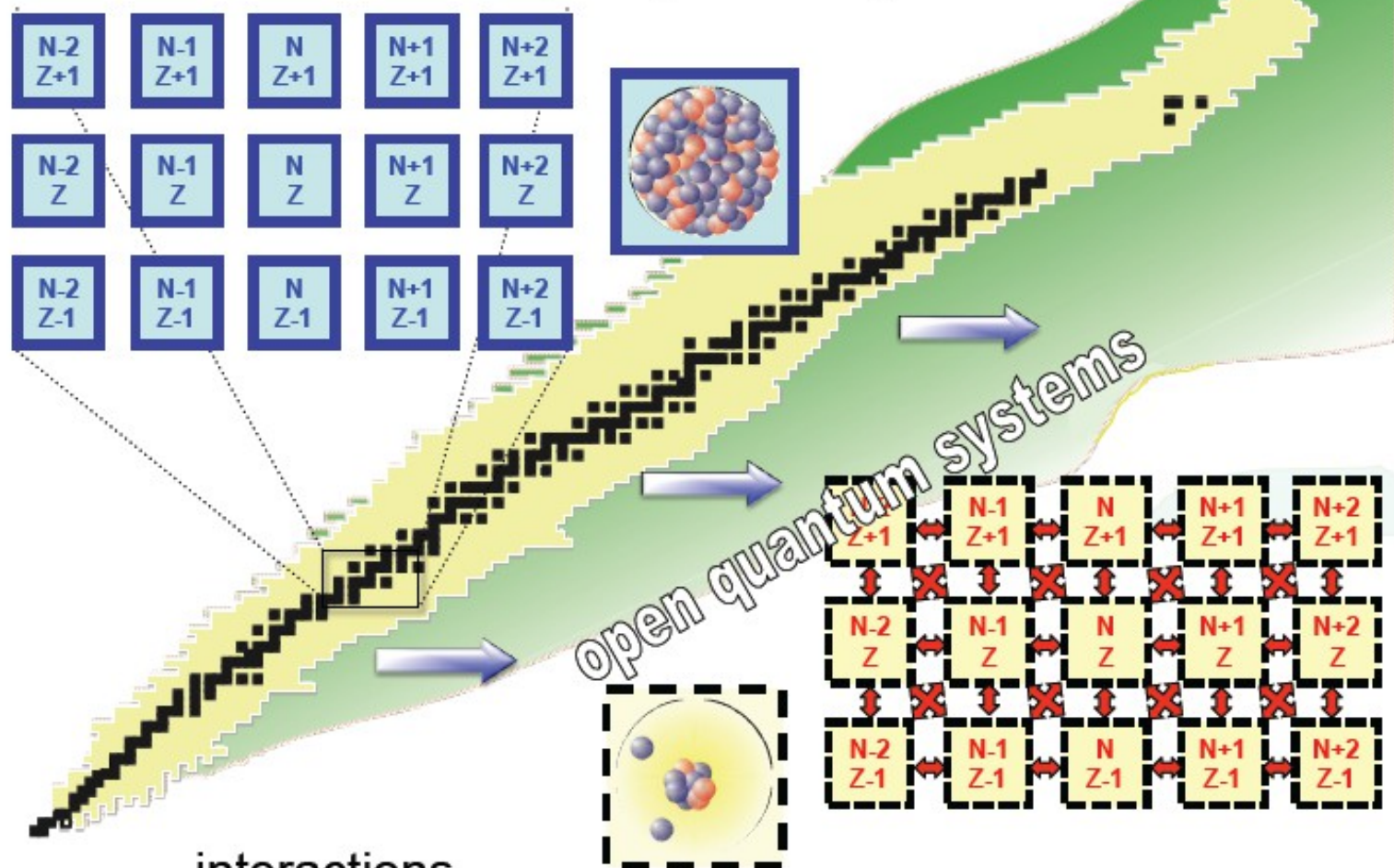
B.R.B., P. Navratil and J.P. Vary, PPNP 69, 131 (2013)



*Impact of continuum for nuclei far from stability*



# Nuclei: open quantum systems

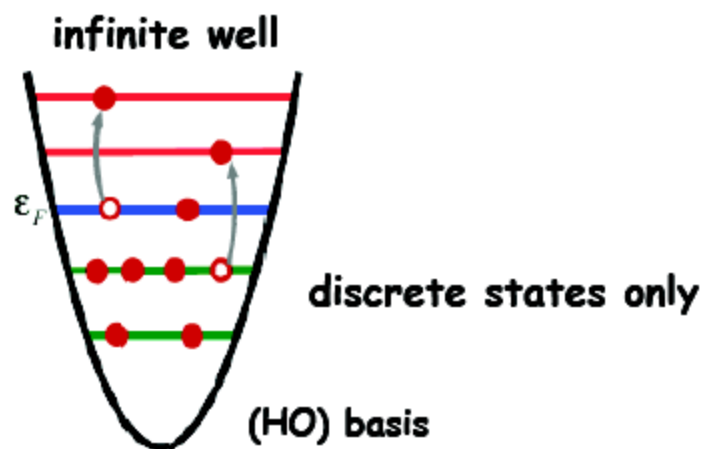
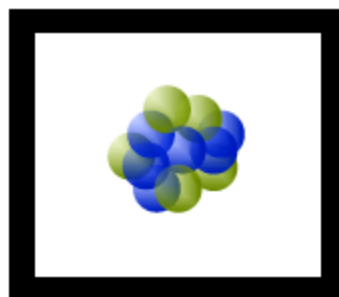


interactions  
correlations  
many-body techniques



## Closed Quantum System

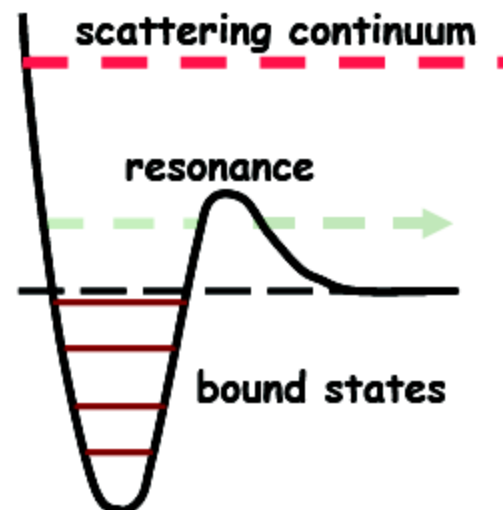
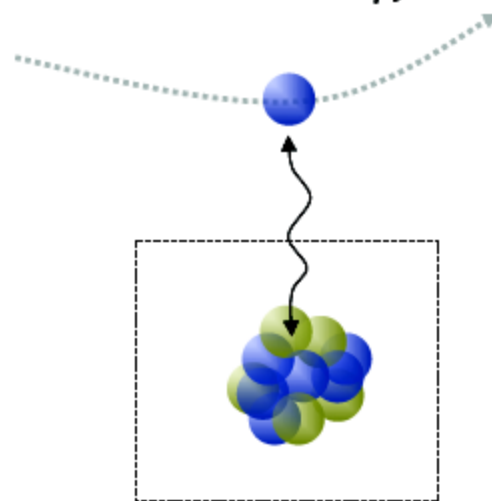
(low lying states near the valley of stability)



nice mathematical properties:  
analytical solution... etc

## Open quantum system

(weakly bound nuclei far away from stability)



## II. NCGSM Formalism

## Theories that incorporate the continuum, selected references

### **Real Energy Continuum Shell Model**

- U.Fano, Phys.Rev.124, 1866 (1961)
- A.Volya and V.Zelevinsky PRC 74, 064314 (2006)

### **Shell Model Embedded in Continuum (SMEC)**

- J. Okolowicz., *et al*, PR 374, 271 (2003)
- J. Rotureau *et al*, PRL 95 042503 (2005)

### **Complex Energy Gamow Shell Model**

- N. Michel *et al.*, Phys. Rev. C67, 054311 (2003)
- G. Hagen *et al*, Phys. Rev. C71, 044314 (2005)
- J.Rotureau *et al* PRL 97 110603 (2006)
- N. Michel *et al*, J.Phys. G: Nucl.Part.Phys 36, 013101 (2009)
- G.P et al PRC(R) 84, 051304 (2011)

## Selected References (continued):

### NCSM/Resonating Group Method

S. Quaglioni and P. Navratil, Phys. Rev. C 79, 044606 (2009)

S. Baroni, P. Navratil, and S. Quaglioni, Phys. Rev. Lett. 110, 022505;  
Phys. Rev. C 87, 034326 (2013).

### Coupled Cluster approach/Berggren basis

G. Hagen, et al., Phys. Lett. B 656, 169 (2007)

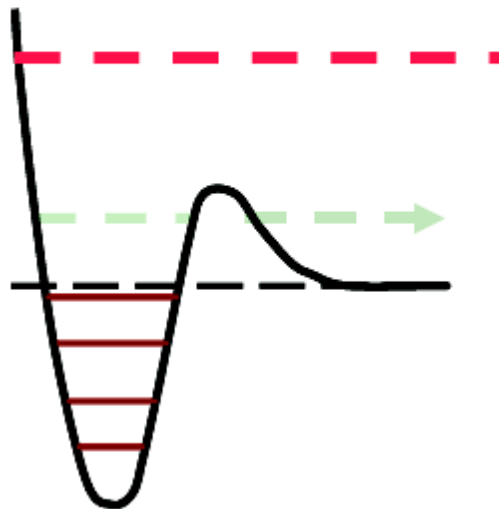
G. Hagen, T. Papenbrock, and M. Hjorth-Jensen, Phys. Rev. Lett.  
104, 182501 (2013)

### Green's Function Monte Carlo approach

K. M. Nollett, et al., Phys. Rev. Lett. 99, 022502 (2007)

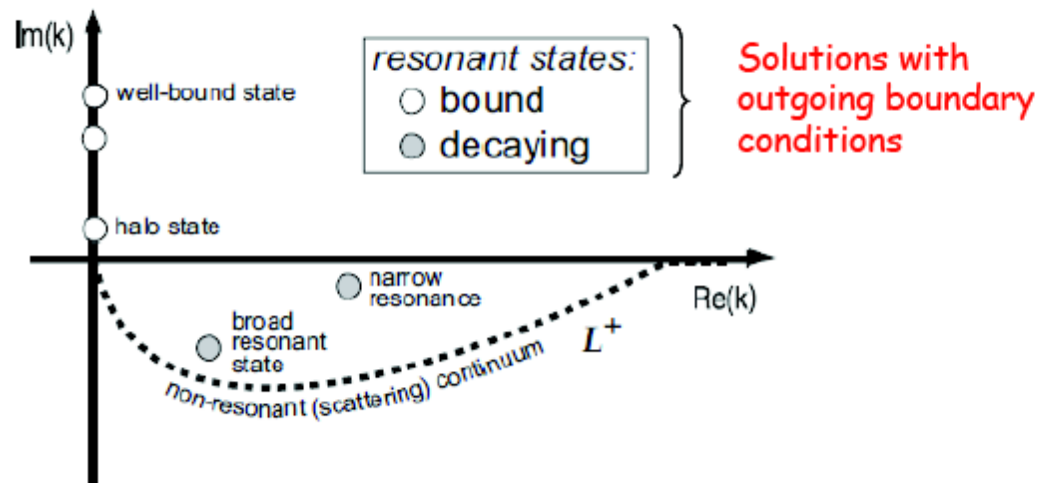
K. M. Nollett, Phys. Rev. C 86, 044330 (2012)

## Resonant and non-resonant states (how do they appear?)



$$\left( -\frac{d^2}{dr^2} + v(r) + \frac{l(l+1)}{r^2} - k^2 \right) u_l(k, r) = 0$$

$$k = \sqrt{\frac{2mE}{\hbar^2}}$$



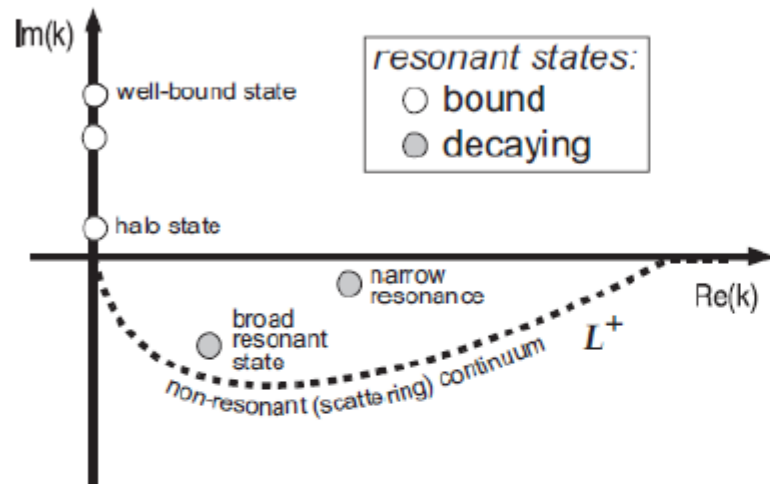
Solution of the one-body Schrödinger equation with outgoing boundary conditions and a finite depth potential

$$u_l(k, r) \sim C_+ H_l^+(k, r), r \rightarrow \infty \text{ bound states, resonances}$$

$$u_l(k, r) \sim C_+ H_l^+(k, r) + C_- H_l^-(k, r), r \rightarrow \infty \text{ scattering states}$$

## The Berggren basis (cont'd)

T. Berggren (1968)  
NP A109, 265



The eigenstates of the 1b Schrödinger equation form a complete basis, **IF**:  
we also consider the  $L_+$  scattering states

$$\sum |u_{res}\rangle \langle u_{res}| + \int_{L^+} dk |u_k\rangle \langle u_k| = 1$$

$|u_k\rangle$  are complex continuum states  
along the  $L^+$  contour  
(they satisfy scattering b.c)

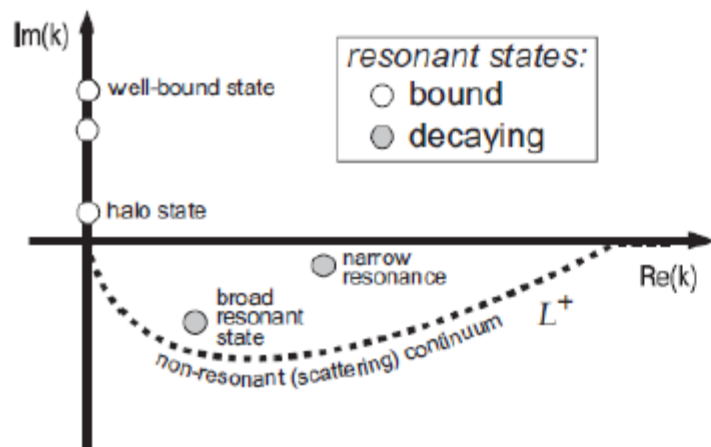
The shape of the contour is arbitrary, but it has to be below the resonance(s) position(s) (proof by T. Berggren)

In practice the continuum is discretized via a quadrature rule (e.g Gauss-Legendre):

$$\sum |u_{res}\rangle \langle u_{res}| + \sum_i |u_{ki}\rangle \langle u_{ki}| \simeq 1 \quad \text{with} \quad |u_k\rangle = \sqrt{\omega_i} |u_{ki}\rangle$$

# Berggren's Completeness relation and Gamow Shell Model

N.Michel *et.al* 2002  
PRL 89 042502



## The GSM in 4 steps

Hermitian Hamiltonian

Many-body  $|SD_i\rangle$  basis

Hamiltonian matrix is built (complex symmetric):

$$\langle SD | H | SD \rangle$$

Hamiltonian diagonalized

$$|\Psi\rangle = \sum_n c_n |SD_n\rangle$$

$$\sum |u_{res}\rangle \langle u_{res}| + \int_{L^+} dk |u_k\rangle \langle u_k| = 1$$

resonant states  
(bound, resonances...)

Non-resonant  
Continuum  
along the contour

$$\sum |u_{res}\rangle \langle u_{res}| + \sum_i |u_{ki}\rangle \langle u_{ki}| \simeq 1$$

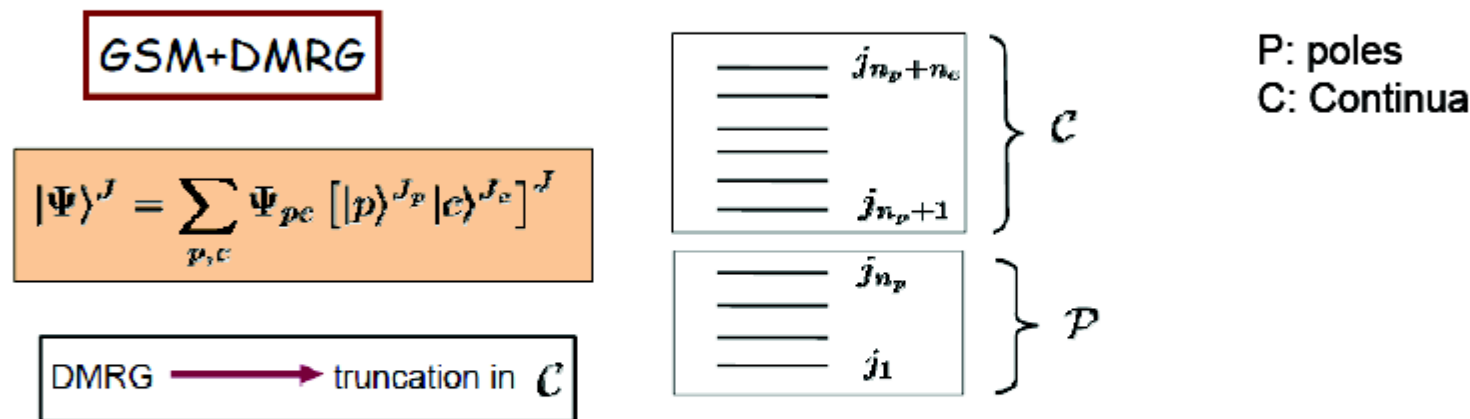
$$|SD_i\rangle = |u_{i1} \dots u_{iA}\rangle$$

Many body correlations and coupling  
to continuum are taken into account simultaneously

# The Density Matrix Renormalization Group (DMRG)

S.R White PRL 69 (1992) 2863  
 T.Papenbrock and D.Dean J.Phys.G 31 (2005) 51377  
 S.Pittel et al PRC 73 (2006) 014301  
 J.Rotureau et al PRC 79 (2009) 014304  
 J. Rotureau et al PRL 97 (2006) 110603

✓ **Truncation Method** applied to lattice models, spin chains, atomic nuclei....



✓ **Iterative method:** In each step ( $N_{\text{step}}$ ) a scattering shell is added from  $C$ .  
 $\rightarrow$  Hamiltonian is diagonalized and density matrix is constructed:

$$\rho_{c,c'}^{J_c} = \sum_p \Psi_{pc} \Psi_{pc'}$$



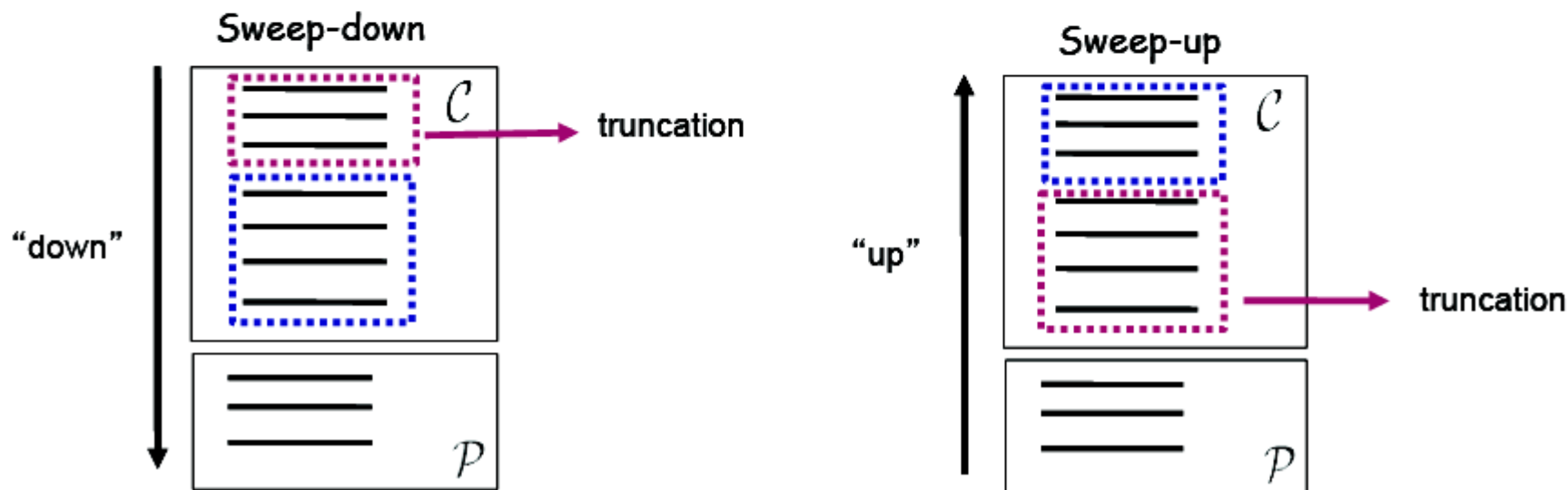
- truncation with the density matrix :

$$\rho_{c,c'}^{J_c} = \sum_p \Psi_{pc} \Psi_{pc'}$$



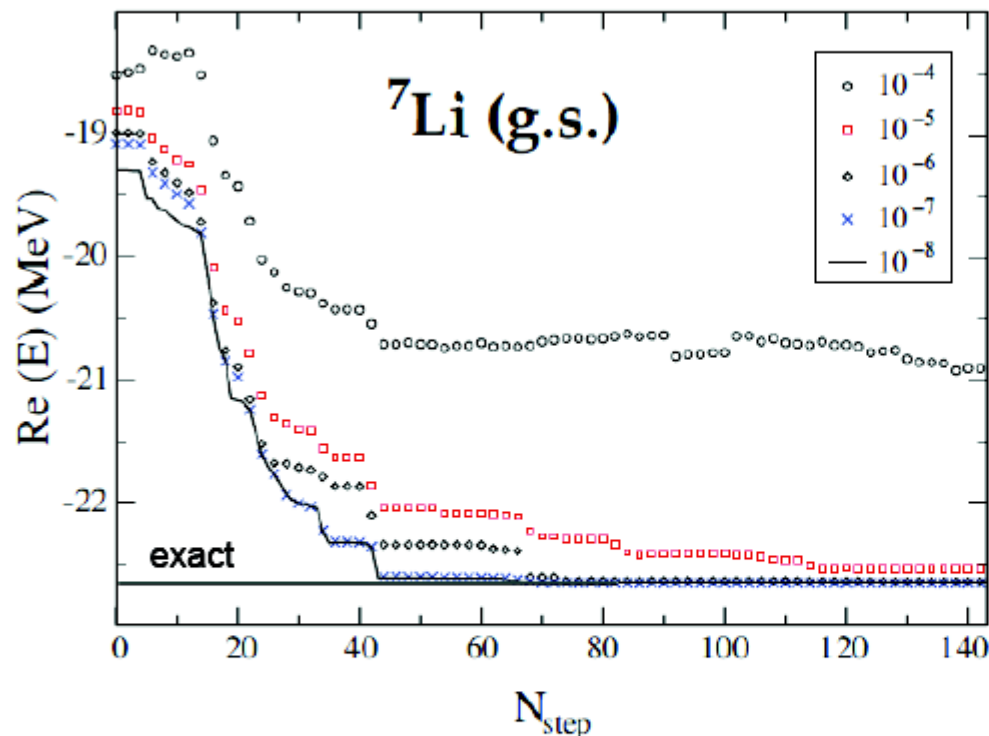
$N_{\text{opt}}$  states that correspond to the largest eigenvalues of the density matrix are kept

- The process is reversed...
- In each step (shell added) the Hamiltonian is diagonalized and  $N_{\text{opt}}$  states are kept.
- Iterative method to take into account all the degrees of freedom in an effective manner.
- In the end of the process the result is the same with the one obtained by "brute" force diagonalization of  $H$ .



# Density Matrix Renormalization Group - Examples - (GSM with a $^4\text{He}$ core)

J.Rotureau et al PRC 79 (2009) 014304



$^7\text{Li}$ : 3 nucleons outside  $^4\text{He}$ .  
Max dim in DMRG:  $\sim 1400$   
19% of the full space space

$$\left| 1 - \text{Re} \left( \sum_{i=1}^{N_p} w_i \right) \right| < \epsilon$$

Small  $\epsilon \rightarrow$  more states of  $\rho$  are kept in each step

$$\sum_{\alpha} w_{\alpha} = 1$$

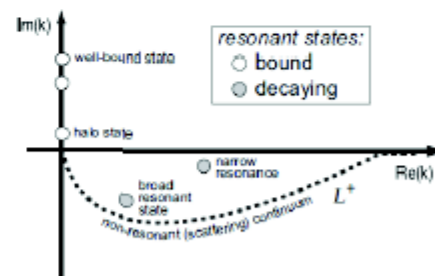
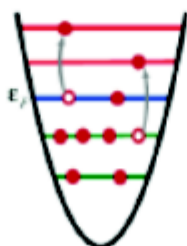
## Gamow Shell Model in an ab-initio framework

$$H = \frac{1}{A} \sum_{i < j}^A \frac{(\vec{p}_i - \vec{p}_j)^2}{2m} + V_{NN,ij} + \dots \quad (1)$$

- Only NN forces at present
  - Argonne V18, (Wiringa, Stoks, Schiavilla PRC 51, 38, 1995)
  - N<sup>3</sup>LO (D.R.Entem and R. Machleidt PRC(R) 68, 041001, 2003)
  - $V_{\text{lowk}}$  technique used to decouple high/low momentum nodes.  $\Lambda_{V\text{lowk}} = 1.9 \text{ fm}^{-1}$   
(S. Bogner et al, Phys. Rep. 386, 1, 2003)

- Basis states
  - s- and p- states generated by the HF potential

→  $| > 1$  H.O states

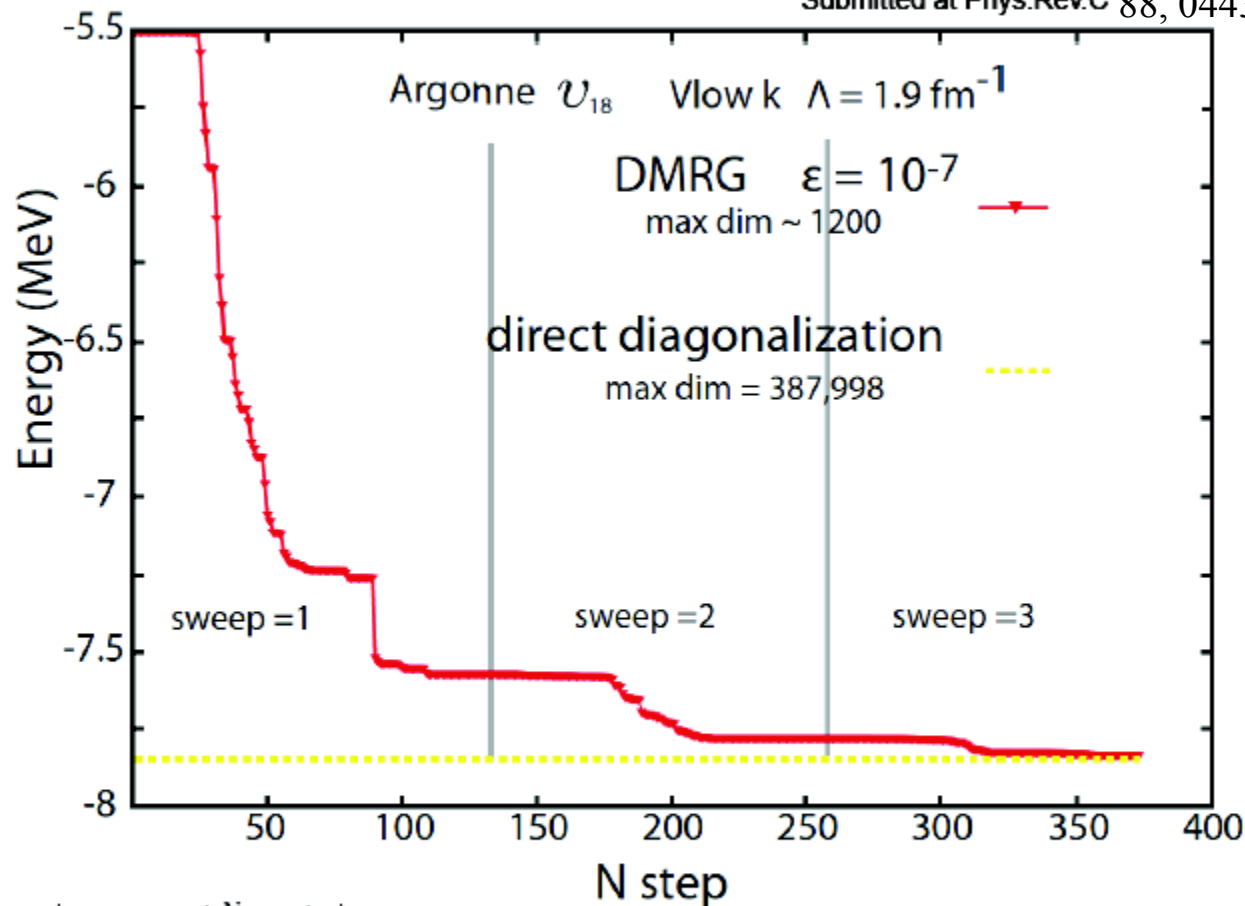


- Diagonalization of (1) → Applications to <sup>3</sup>H, <sup>4</sup>He, <sup>5</sup>He

### III. NCGSM: Applications to Light Nuclei

# Results

G.Papadimitriou, J.Rotureau, B. Barrett, N. Michel, M.Ploszajczak arXiv:1301.7140  
 Submitted at Phys.Rev.C 88, 044318 (2013)



- 2 neutrons
- 1 proton
- Pole space A:  $0s_{1/2}$  (p/n)
- Continuum space B:
  - p $_{3/2}$ , p $_{1/2}$ , s $_{1/2}$  real energy continua
  - d $_{5/2}$ -d $_{3/2}$  H.O states
- 130 s.p. states total

$$\left| 1 - \text{Re} \left( \sum_{i=1}^{N_p} w_i \right) \right| < e$$

$$\sum_{\alpha} w_{\alpha} = 1$$

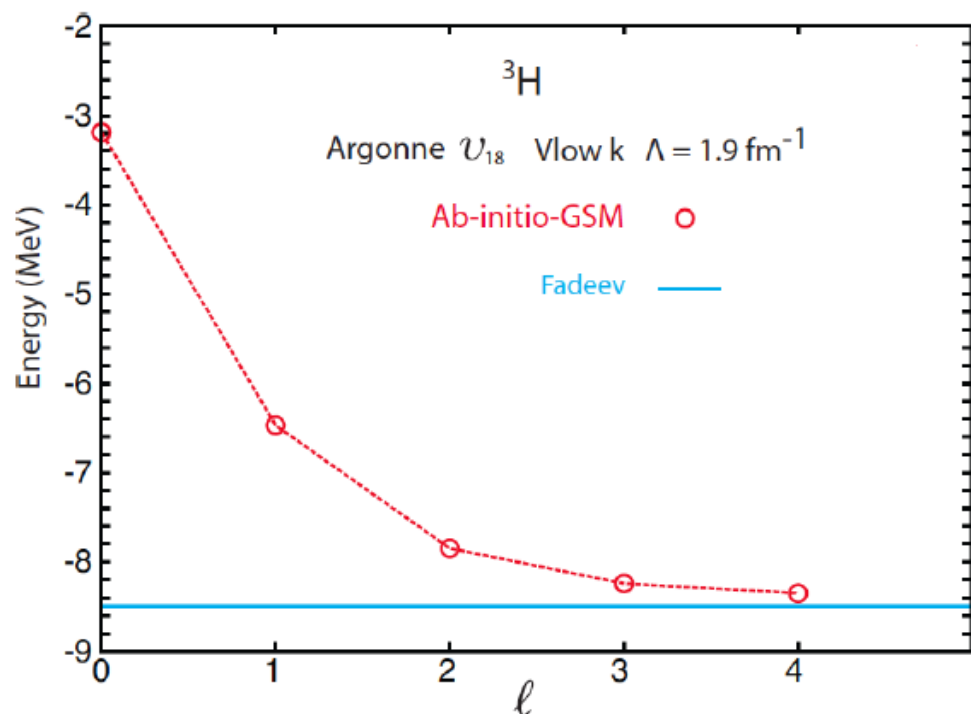
$$E_{\text{exact}} = -7,840 \text{ MeV}$$

$$E_{\text{DMRG}} (\epsilon = 10^{-7}) = -7,832 \text{ MeV}$$

$$E_{\text{DMRG}} (\epsilon = 10^{-6}) = -7,820 \text{ MeV}$$

# Results: Triton

G.P., J.Rotureau, N. Michel, M.Ploszajczak, B. Barrett arXiv:1301.7140

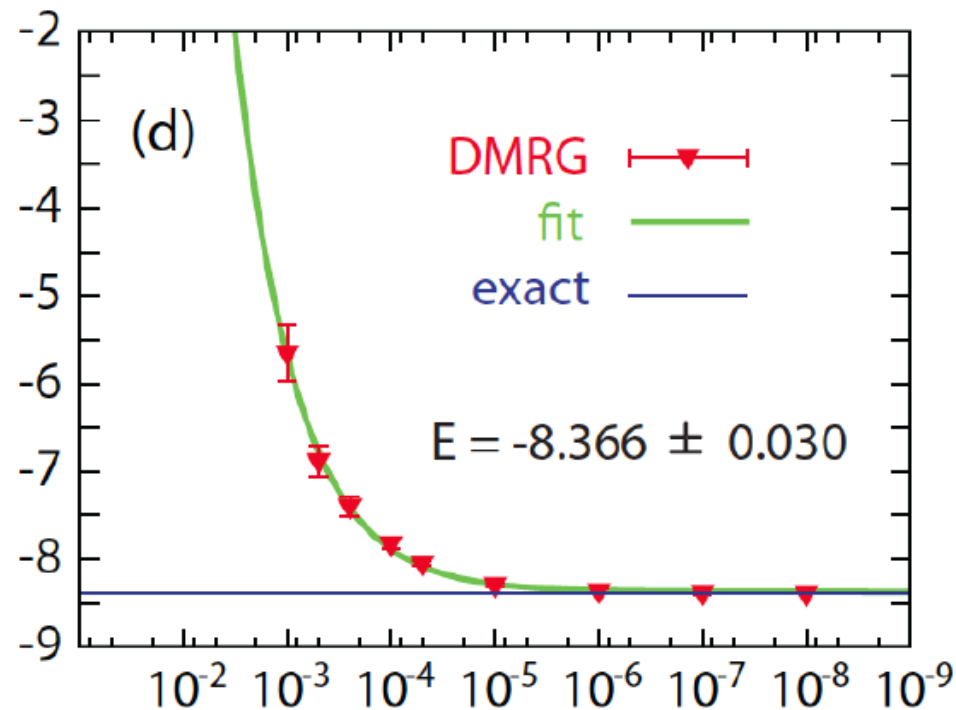


$$E_{\text{Faddeev}} = -8.47 \text{ MeV}$$

$$E_{\text{ab-initio}} = -8.39 \text{ MeV (exact diagonalization)}$$

Dim in DMRG = 2,575

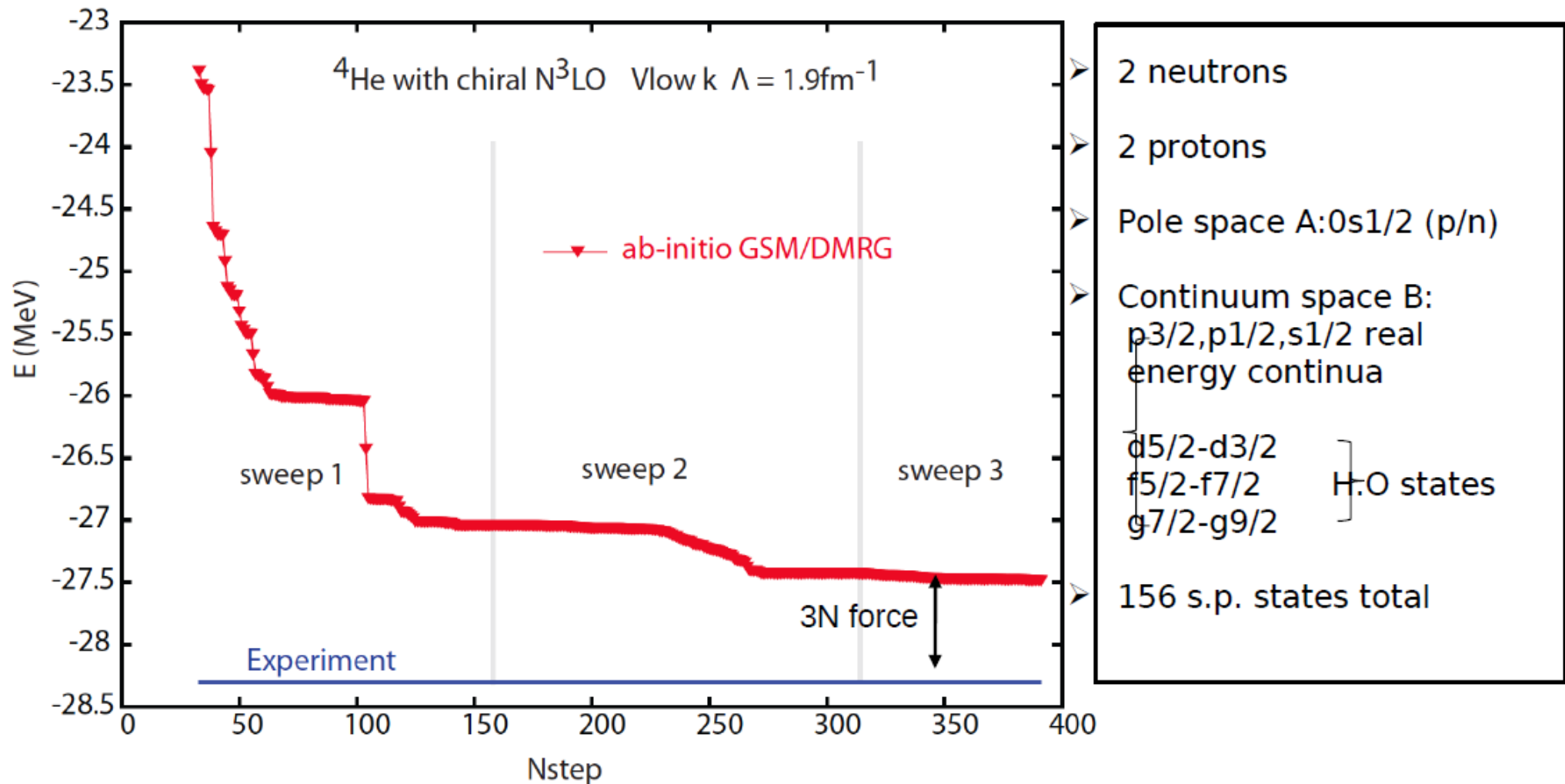
Dim in exact = 890,021



Truncation error decreases  
Very fast with increasing the  
number of states kept

# Results: $^4\text{He}$ with chiral $\text{N}^3\text{LO}$

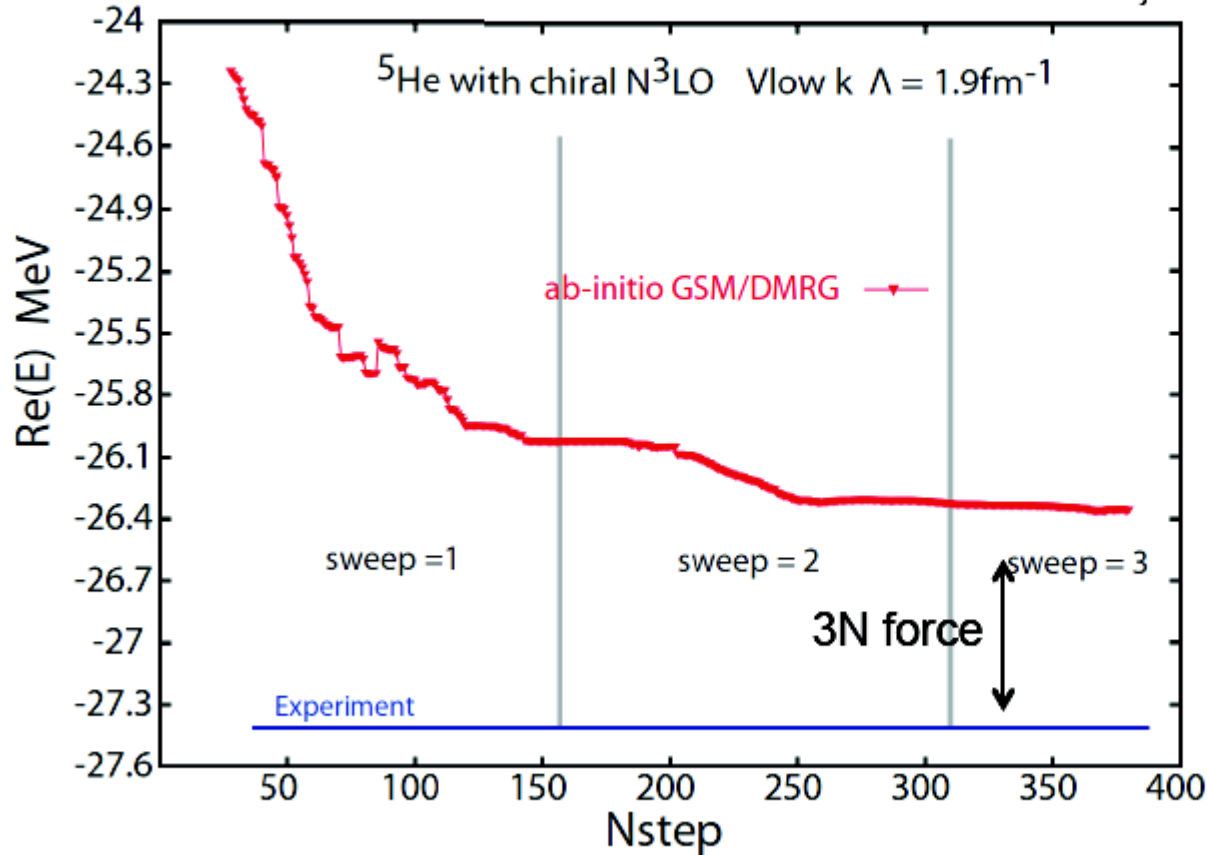
G.P., J.Rotureau, N. Michel, M.Ploszajczak, B. Barrett arXiv:1301.7140



$$E_{\text{N}^3\text{LO}} = -27.48 \text{ MeV}$$

# Results: $^5\text{He}$ with chiral $\text{N}^3\text{LO}$ (real part)

G.Papadimitriou, J.Rotureau, B. Barrett, N. Michel, M.Ploszajczak arXiv:1301.7140  
Submitted at Phys.Rev.C 88, 044318 (2013)



- 3 neutrons
- 2 protons
- Pole space A:  $0s_{1/2}$  (p/n) +  $0p_{3/2}$  n resonant state
- Continuum space B:
  - p $_{3/2}$  complex continuum
  - p $_{1/2}$ -s $_{1/2}$  real continua
  - d $_{5/2}$ -d $_{3/2}$
  - f $_{5/2}$ -f $_{7/2}$
  - g $_{7/2}$ -g $_{9/2}$ } H.O states
- 157 s.p. states total

Dim for direct diagan:  $3 \times 10^9$

DMRG dim  $\sim 10^5$

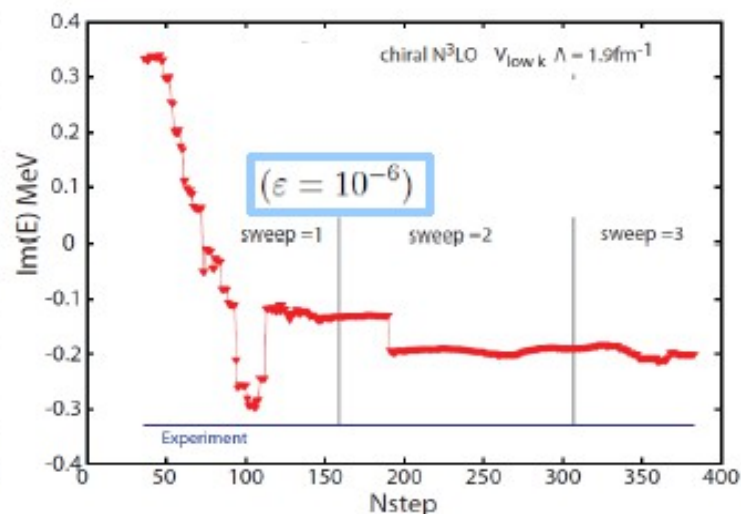
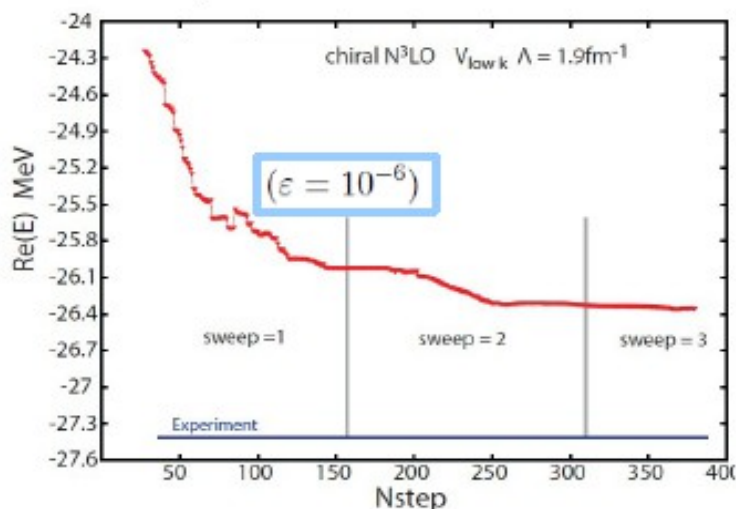


$^5\text{He}$

HF poles

- \*  $0p_{3/2}(n)$ :  $E = (1.194, -0.633)$  MeV
- \*  $0s_{1/2}(p)$ :  $E = -23.291$  MeV
- \*  $0s_{1/2}(n)$ :  $E = -23.999$  MeV

Inclusion of  $p_{3/2}$  complex-continuum contour for neutron



157 Shells

J-scheme dimension

- \* Full NCGSM space: 1,379,196,439
- \* DMRG  $\sim 1.10^5$

DMRG

$(\epsilon = 10^{-6})$

$(-26.31, -0.20)$

Coupled Cluster

(CCSD)

$(-24.87, -0.16)$

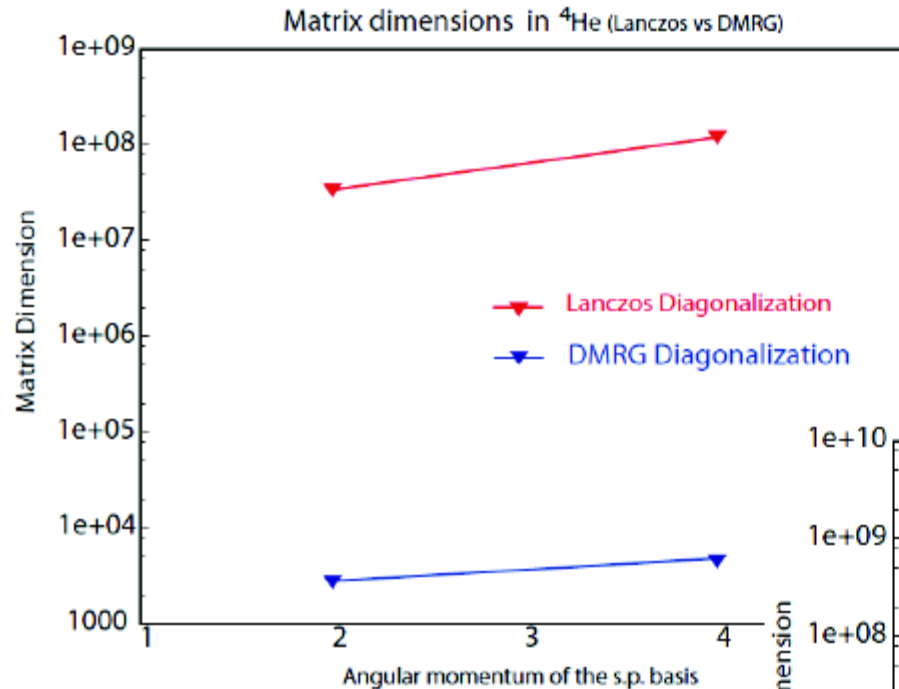
*G. Hagen et al,*  
PLB 656 (2007) 169.

Comparison of Position and Width of the  $5\text{He}$  Ground  
State: Theory and Experiment

Method	Energy (MeV)	Width (MeV)
NCGSM/DMRG:	1.17	0.400
“Extended” R-matrix*:	0.798	0.648
Conventional R-matrix*:	0.963	0.985

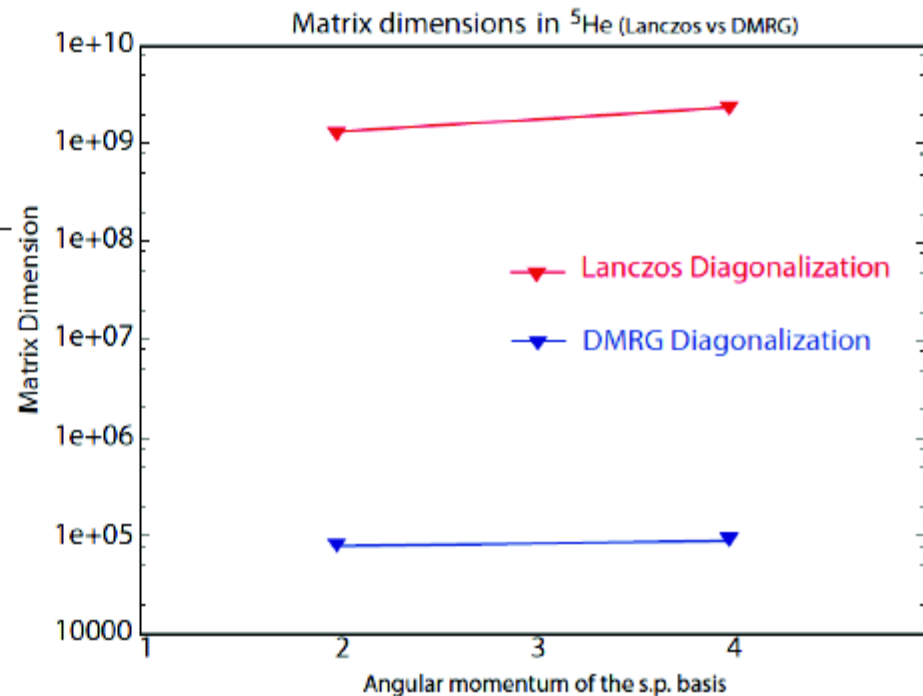
\*D. R. Tilley, et al., Nucl. Phys. A 708, 3 (2002)

## Dimension comparison



→ Lanczos: “brute” force diagonal of H.

→ DMRG: Diagonal of H in the space where only the most important degrees of “freedom” are considered



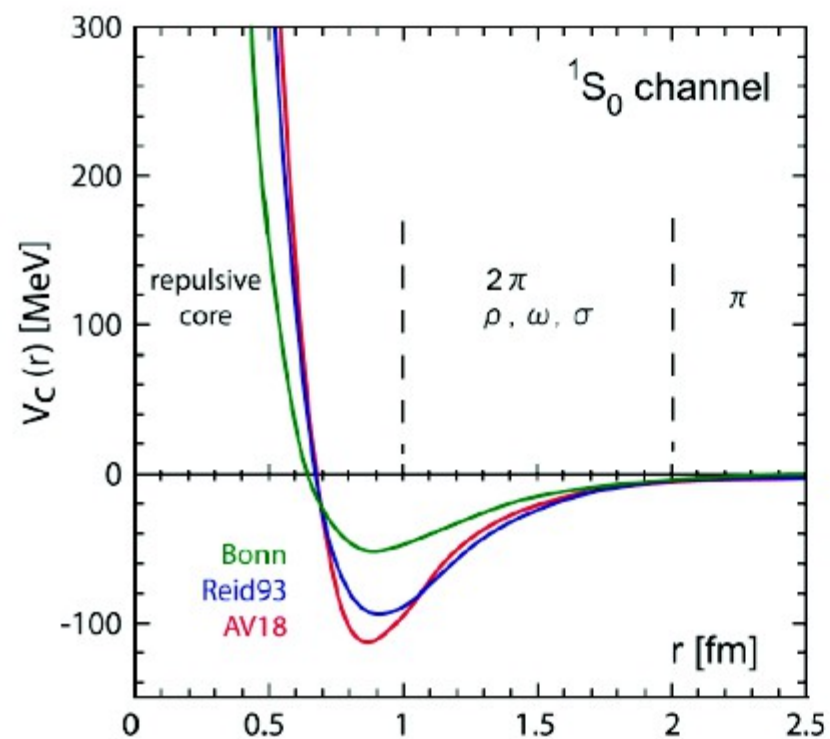
## IV. Summary and Outlook

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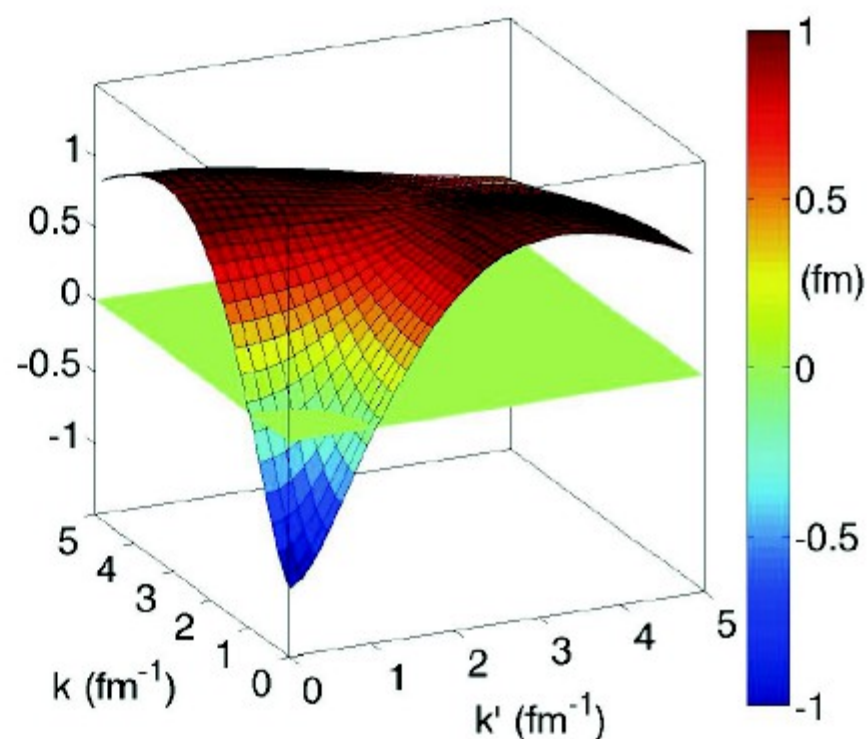
1. The Berggren basis is appropriate for calculations of weakly bound/unbound nuclei.
2. Berggren basis has been applied successfully in an ab-initio GSM framework --> No Core Gamow Shell Model for weakly bound/unbound nuclei.
3. Diagonalization with DMRG makes calculations feasible for heavier nuclei using Gamow states.
4. Future applications to heavier nuclei and to nuclei near the driplines.



## Realistic two-body potentials in coordinate and momentum space



(a)



(b)

Repulsive core makes calculations difficult

- Need to decouple high/low momentum modes
- ✓ Achieved by  $V_{\text{low-k}}$  or Similarity RG approaches (e.g. SRG)

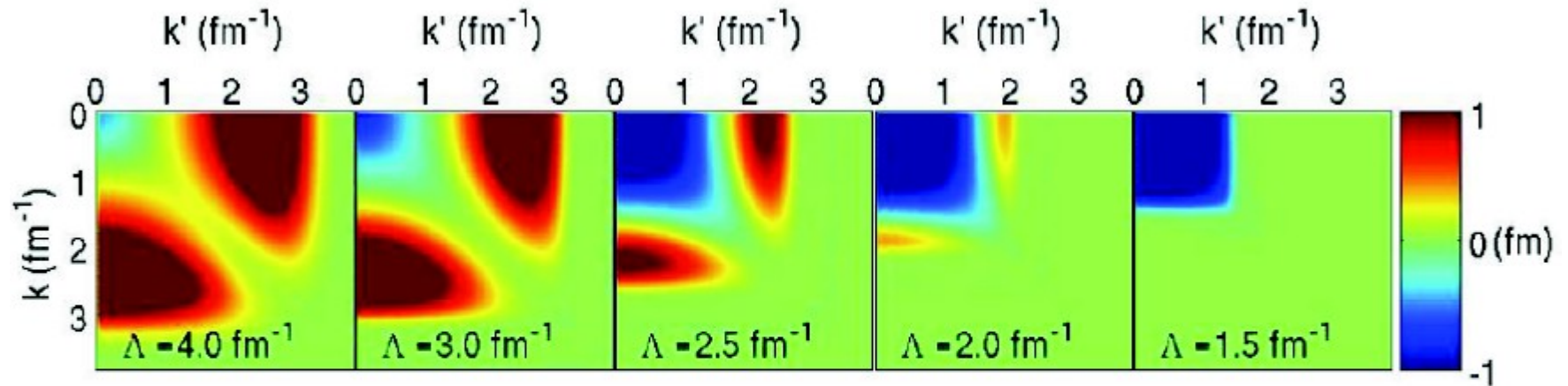
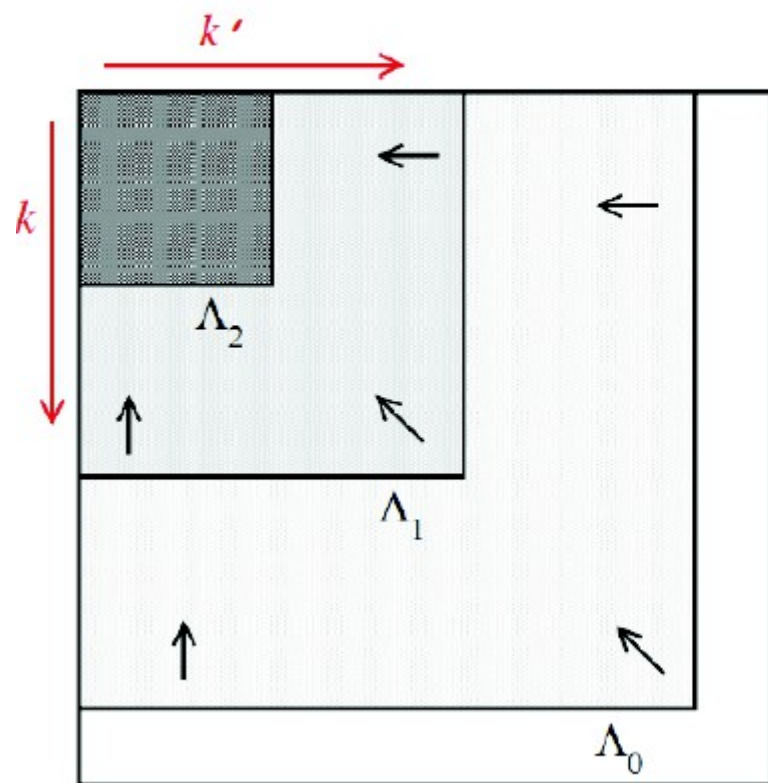


Fig. from S. Bogner et al Prog.Part.Nucl.Phys.65:94-147,2010

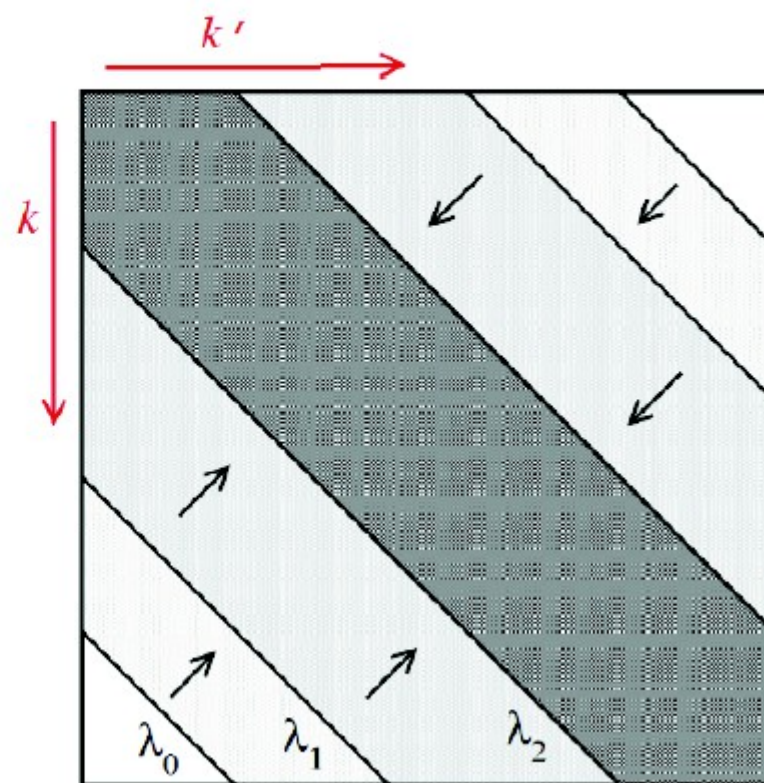
- Observable physics is preserved (e.g. NN phase shifts) AND calculations become easier (work with the relevant degrees of freedom)
- One has to deal with "induced" many-body forces...



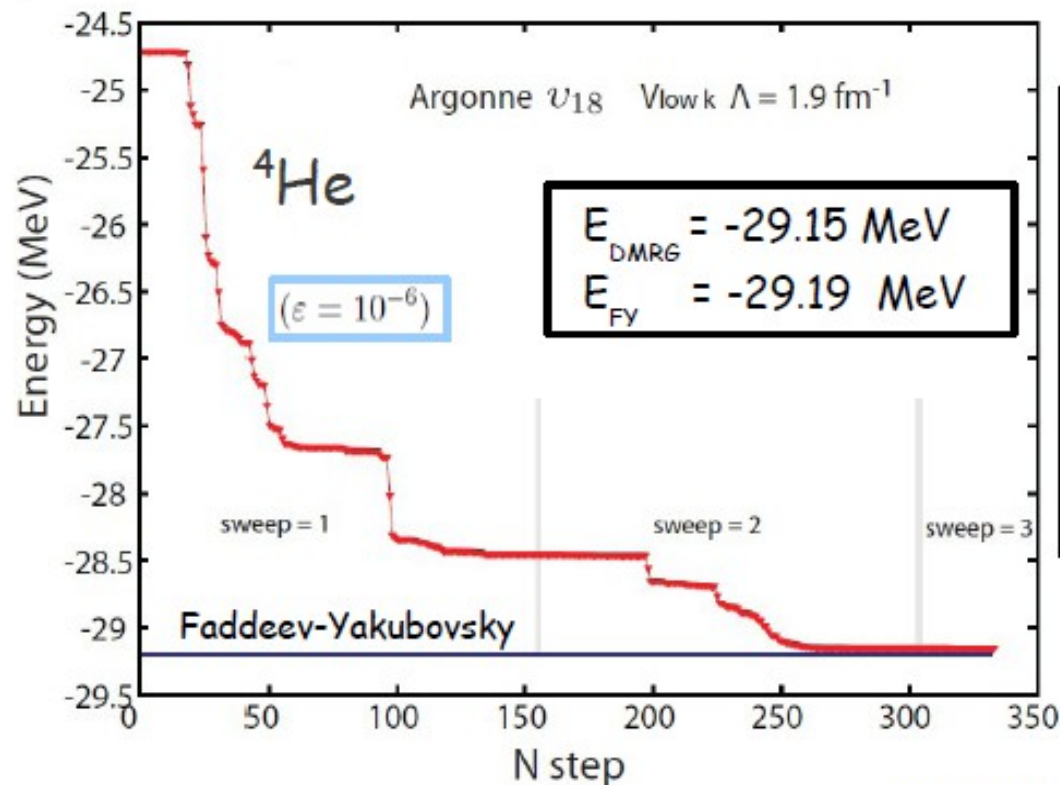
Illustration on how the high momentum nodes are integrated out in the Vlowk (a) and in the SRG (b) RG methods



(a)



(b)



- i)  $s_{1/2}$   $p_{3/2}$   $p_{1/2}$  real-energy HF states
- ii) dfg H.O states
- \*  $0s_{1/2}(p)$  :  $E = -24.453 \text{ MeV}$
- \*  $0s_{1/2}(n)$  :  $E = -26.290 \text{ MeV}$

156 Shells

J-scheme dimension

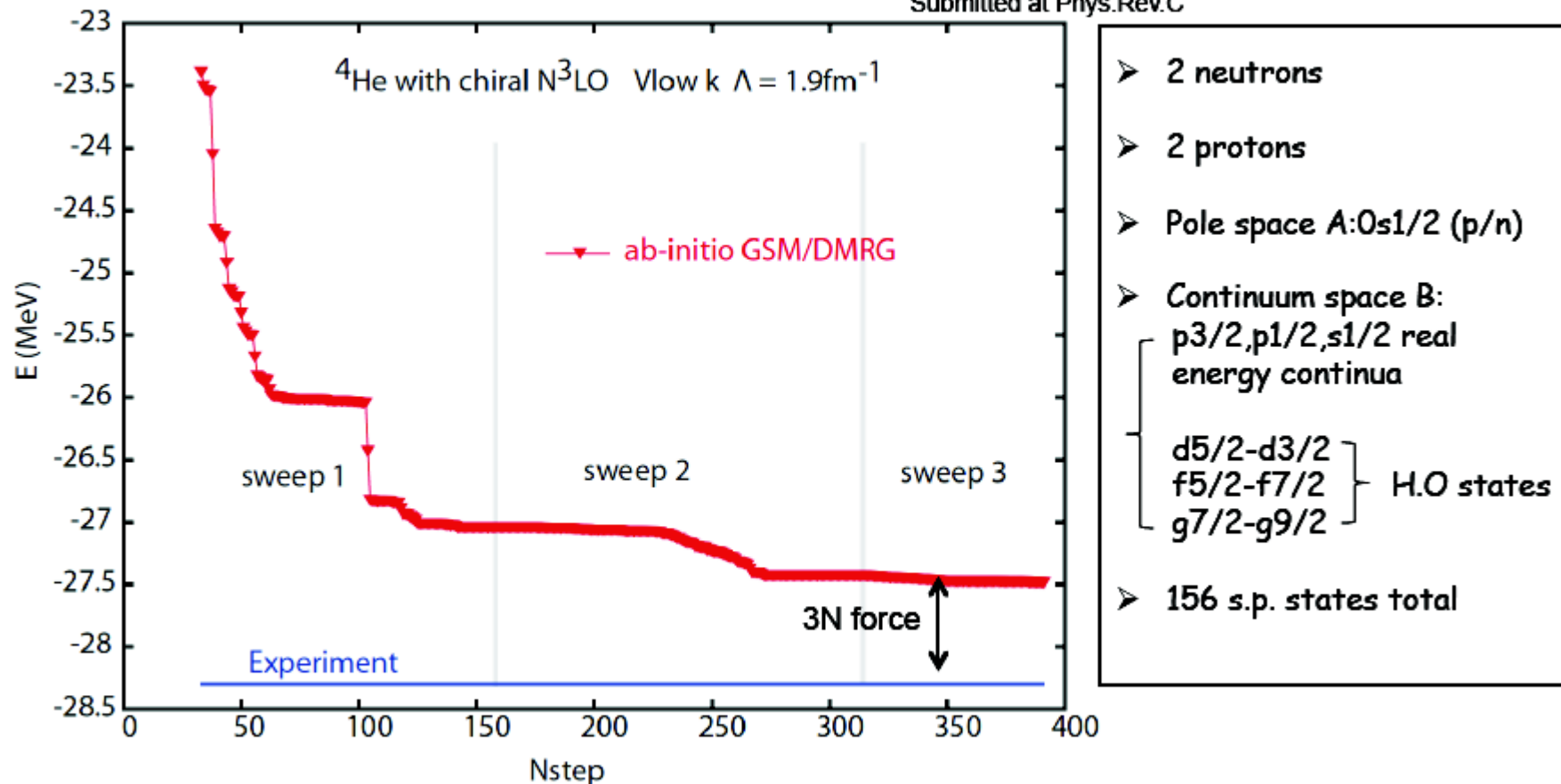
- \* Full NCGSM space: 6,230,512
- \* DMRG  $\sim$  6000

G. Papadimitriou *et al*, arXiv:1301.7140  
 PRC 88, 044318 (2013)

(FY result from Nogga *et al*, PRC 70 (2004))

# Results: $^4\text{He}$ with chiral $\text{N}^3\text{LO}$

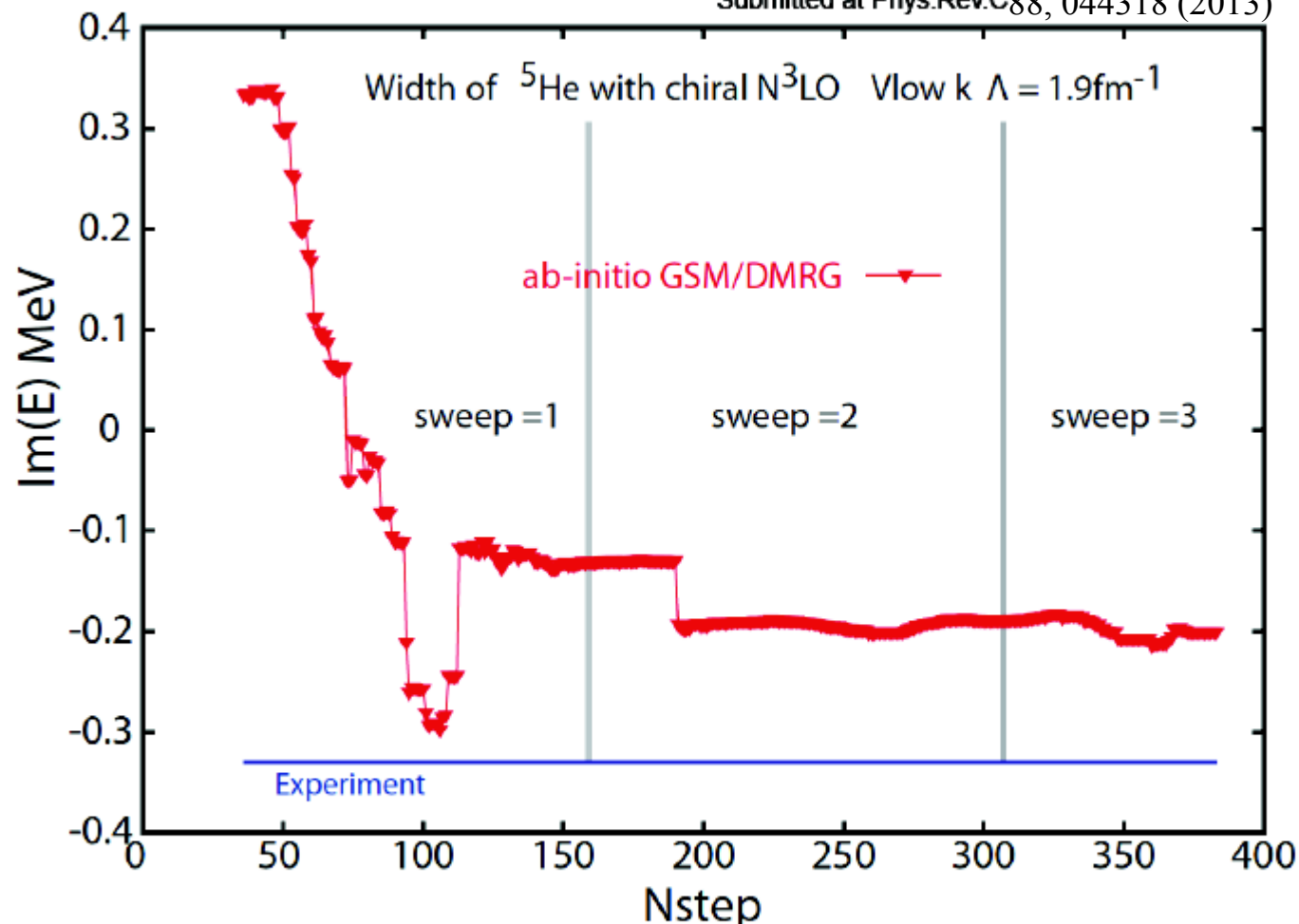
G.Papadimitriou, J.Rotureau, B. Barrett, N. Michel, M.Ploszajczak arXiv:1301.7140  
Submitted at Phys.Rev.C



3N force arises from the renormalization of the NN interaction.

# Results: ${}^5\text{He}$ imaginary part (width) with chiral $\text{N}^3\text{LO}$

G.Papadimitriou, J.Rotureau, B. Barrett, N. Michel, M.Ploszajczak arXiv:1301.7140  
Submitted at Phys.Rev.C88, 044318 (2013)



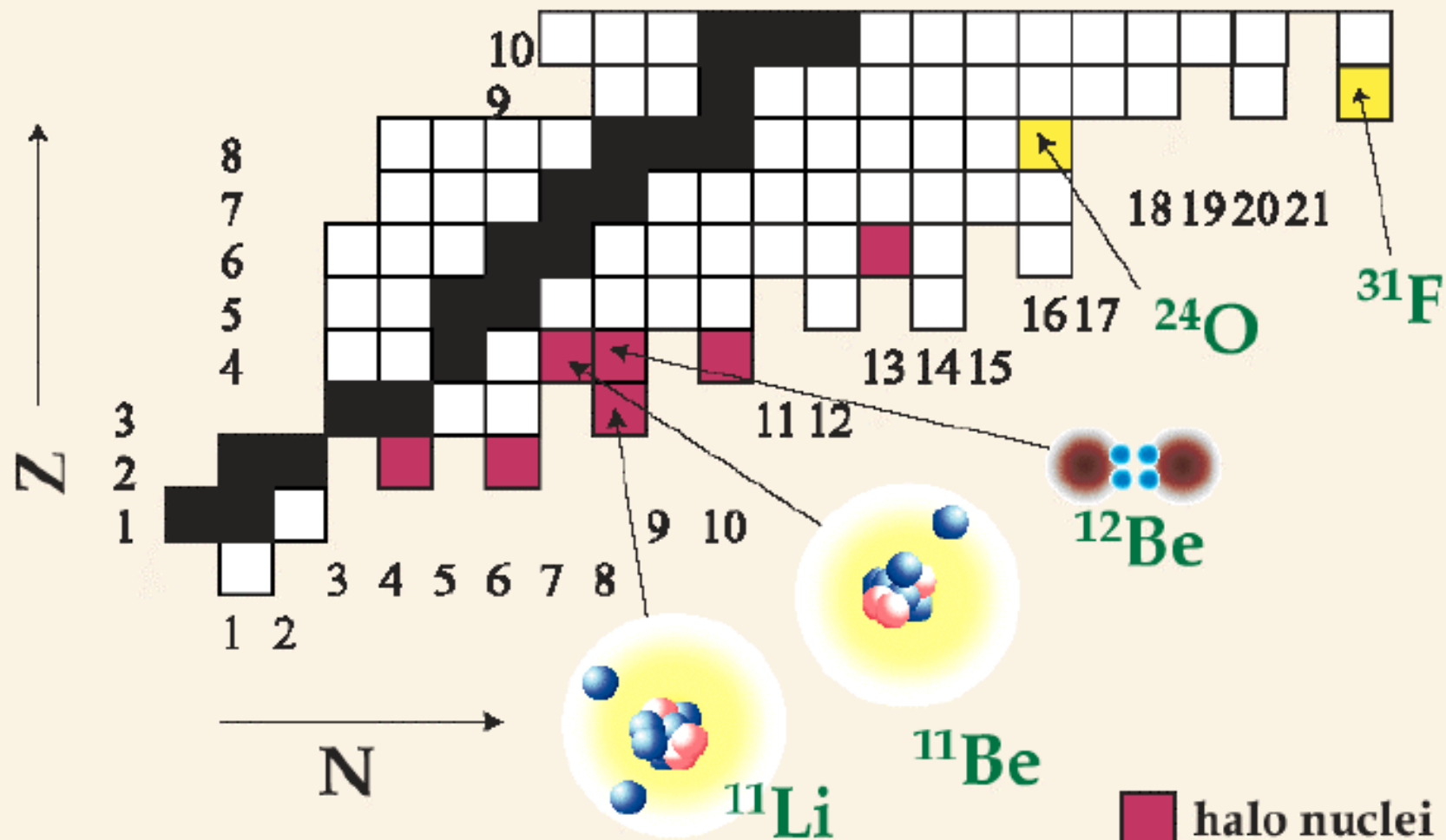
$$S_{1n} = -1.20 \text{ MeV}$$

$$S_{1n} (\text{exp}) = -0.89 \text{ MeV}$$

Unbound character of  ${}^5\text{He}$  reproduced within an ab-initio framework

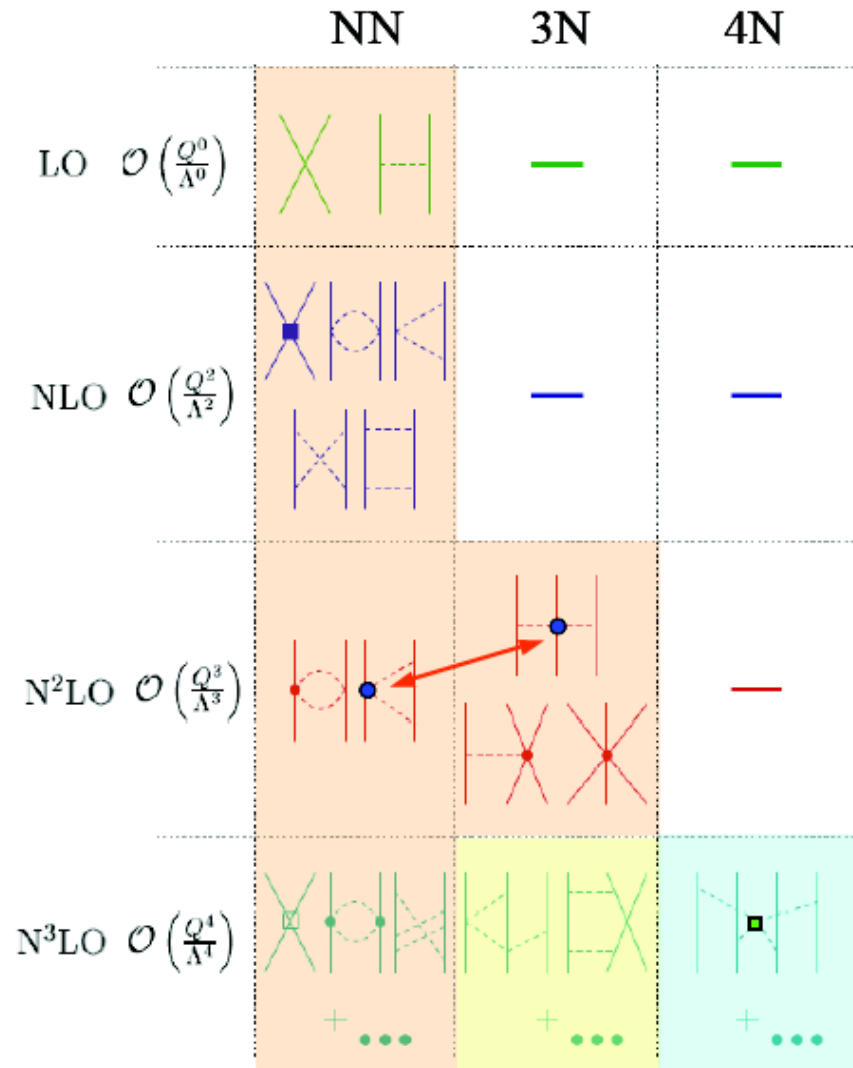
Satisfactory agreement of the **width** with experiment

# Light drip line nuclei



# Chiral effective field theory (EFT) for nuclear forces

Separation of scales: low momenta  $\frac{1}{\lambda} = Q \ll \Lambda_b$  breakdown scale  $\Lambda_b$



explains pheno hierarchy:

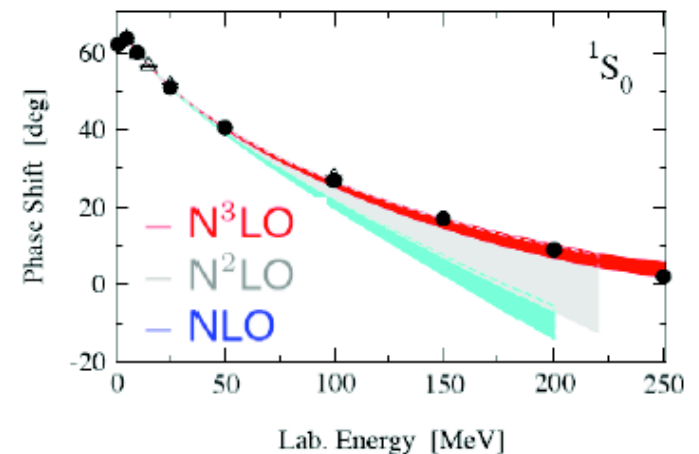
NN > 3N > 4N > ...

NN-3N,  $\pi N$ ,  $\pi\pi$ , electro-weak, ...

consistency

3N, 4N: 2 new couplings to N<sup>3</sup>LO!

theoretical error estimates



## Some selected references for the Complex Energy Gamow Shell Model

1. N. Michel, et al., Phys. Rev. C 67, 054311 (2003)
2. G. Hagen, et al., Phys. Rev. C 71, 044314 (2005)
3. J. Rotureau, et al., PRL 97, 110603 (2006)
4. M. Michel, et al., J. Phys. G: Nucl. Part. Phys. 36, 013101 (2009)
5. G. Papadimitriou, et al., PRC(R) 84, 051304 (2011)